

### FULBRIGHT & JAWORSKI L.L.P.

A REGISTERED LIMITED LIABILITY PARTNERSHIP
600 CONGRESS AVENUE, SUITE 2400
AUSTIN, TEXAS 78701-3271
WWW.FULBRIGHT.COM

RHANSON@FULBRIGHT.COM DIRECT DIAL: (512) 536-3085 TELEPHONE: FACSIMILE: (512) 474-5201 (512) 536-4598

June 21, 2004

CERTIFICATE OF MAILING 37 C.F.R 1.8

I certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the deposite below:

June 21, 2004

Date

Robert E. Hanson

#### MS AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

RE:

U.S. Patent Application No. 10/730,363 entitled "PLANTS AND SEEDS OF CORN

VARIETY LH324" – William D. Griffith

Our reference: HFSC:016US Client reference: 34-52 (51647)

Sir:

Enclosed for filing in the above-referenced patent application is an Information Disclosure Statement, Form PTO-1449, and references A1-A26 and C1-C29.

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/HFSC:016US.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

Robert E. Hahson

Reg. No. 42,628

REH/kmv

Encl.: as noted





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: William D. Griffith

Serial No.: 10/730,363

Filed: December 8, 2003

For: PLANTS AND SEEDS OF CORN

VARIETY LH324

Group Art Unit: 1638

Examiner: Unknown

Atty. Dkt. No.: HFSC:016US

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Robert E. Hanson

June 21, 2004

Date

## INFORMATION DISCLOSURE STATEMENT

### MS AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

LH324 was developed from the initial single cross of LH172 x LH283 by selfing and using the pedigree system of plant breeding. Yield, stalk quality, root quality, disease tolerance, late plant greenness, late plant intactness, ear retention, pollen shedding ability, silking ability and corn borer tolerance were the criteria used to determine the rows from which ears were selected during the development of LH324.

LH172 and LH283 are both proprietary field corn inbred lines of Holden's Foundation Seeds, L.L.C., of Williamsburg, Iowa. On August 31, 1993 PVPA certificate #9200249 was awarded for LH172. U.S. Patent No 5,276,266, issued January 4, 1994, also protects LH172. On May 29, 1998, PVPA certificate #970078 was awarded for LH283. U.S. Patent No. 5,773,683, issued June 30, 1998, also protects LH283.

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/HFSC:016US.

Applicant respectfully requests that the listed documents be made of record in the present

Respectfully submitted,

Robert E. Hanson Reg. No. 42,628

Attorney for Applicant

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date: June 21, 2004

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Form PTO-1449 (modified)

Atty. Docket No. HFSC:016US

Serial No. 10/730,363

Patents and Publications for Applicant's

Applicant

William D. Griffith

PRMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date: December 8, 2003 Group: 1638

U.S. Patent Documents See Page 1

**Foreign Patent Documents** See Page 2

Other Art See Page 2

## **U.S. Patent Documents**

Exam.	Ref.	Document	Date	Name	Class	Sub Class	Filing Date of App.
Init.	Des.	Number	9/09/75	Bradner	47	58	3/29/74
	A1	3,903,645		Welch	47	58	7/27/81
	A2	4,368,592	1/18/83	Beversdorf et al.	47	58	5/11/83
	A3	4,517,763	6/21/85		47	58	4/15/86
	A4	4,581,847	4/15/86	Hibberd et al.	47	58	2/21/85
	A5	4,594,810	6/17/86	Troyer	47	58	2/21/85
	A6	4,607,453	8/26/86	Troyer	800	1	1/11/85
	A7	4,626,610	12/02/86	Sun	ļ	1	4/26/85
	A8	4,629,819	12/16/86.	Lindsey	800	1	2/21/85
	A9	4,642,411	2/10/87	Hibberd et al.	800	<del></del>	4/29/85
	A10	4,654,466	3/31/87	Lindsey	800	1	11/14/85
	A11	4,658,084	4/14/87	Beversdorf et al.	800	1	4/14/87
	A12	4,658,085	4/14/87	Beversdorf et al.	800	1	<b></b>
	A13	4,677,246	6/30/87	Armond et al.	800	1	4/26/85
	A14	4,731,499	4/15/88	Puskaric et al.	800	1	1/29/87
		4,737,596	4/12/88	Seifert et al.	800	1	1/29/87
	A15	5,276,262	1/04/94	Arthur and Johnson	800	200	11/25/91
	A16	5,276,263	1/04/94	Foley	800	200	12/06/91
	A17		4/19/94	Miller	800	200	12/06/91
	A18	5,304,717	7/25/95	Arthur et al.	800	200	10/21/93
	A19		6/04/96	Hunsperger and Holtrop	800	200	6/24/94
	A20			Peters	800	200	7/12/96
	A21		2/03/98		800	200	10/04/96
	A22	5,731,504	2/24/98	Foley	800		12/05/96
	A23	5,750,850	5/12/98	Foley			

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**EXAMINER:** 

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EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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List of Patents and Publications for Applicant's					William D. Griff	fith			
Information Disclosure Statement					Filing Date: Group:				
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U.S	S. Patent I	Documents	Forei		atent Documents			See Po	
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			U.S. P	ate	nt Docume	nts			
Exam. Init.	Ref. Des.	Document Number	Date		Name		Class	Sub Class	4
11111.	A24	5,767,341	6/16/98	Eg	gerling		800	200	12/05/96
	<u> </u>	5,773,683	6/30/98	<del>                                     </del>	ley		800	200	12/06/96
	A25 A26	6,091,007	7/18/00		offbeck and Hohnst	rater	800	320.1	3/10/98
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Exam. Init.	Ref. Des.				Citatio	n.			
	C1	Armstrong & Green, "Establishment and Maintenance of Friable Embryogenic Maize Callus and the Involvement of L-Proline," <i>Planta</i> , 164:207-214, 1985.							
		Beckmann and Soller, "Restriction Fragment Length Polymorphisms in Plant Genetic Improvement," Oxfors Surveys of Plant Molecular & Cell Biology, 3:196-250, 1986.  Conger et al., "Somatic Embryogenesis from Cultured Leaf Segments of Zea Mays," Plant Cel							
	C2	Improvement	" Oxfors Sur	vevs	of Plant Molecula		II Dioroe)	_	
	C2	Conger et al.,	"Oxfors Sur "Somatic En	veys nbryo	ogenesis from Cult	ured L	eaf Segme	nts of Ze	a Mays," Plant Ce
·	ļ	Improvement,  Conger et al.,  Reports, 6:34:  Duvick, "Gen  Contributions  sponsored by  Georgia; W.R.  Madison, Wis	"Somatic En 5-347, 1987. etic Contribu to Yield Gai Div. C-1, Cr Fehr, Crop	nbryontions of Scie	of Plant Molecular ogenesis from Cult s to Yield Gains of f Five Major Crop cience Society of Am nce Society of Am	ured I.  YU.S. I  Plants  America	eaf Segme  Hybrid Ma  Proceed  a, Decemb	nts of Zec ize, 1930 ings of a per 2, 198 can Socie	to 1980," Plant Co Symposium I in Atlanta, ty of Agronomy,
	C3	Improvement, Conger et al., Reports, 6:34: Duvick, "Gen Contributions sponsored by Georgia; W.R Madison, Wis Edallo et al., with in vitro	"Somatic En 5-347, 1987. etic Contribu to Yield Gai Div. C-1, Cr Fehr, Crop sconsin, pp. "Chromoson Culture and I	nbryontions of Scientifications of Scientifica	of Plant Molecular ogenesis from Cult s to Yield Gains of f Five Major Crop cience Society of Am nce Society of Am d'ariation and Frequ Regeneration in M	rured L TU.S. 1 Plants America a ency ( laize,"	Hybrid Ma Proceed The Proceed	nts of Zee ize, 1930 ings of a per 2, 198 can Socie eous Muta 26:39-56	to 1980," Plant Control 1980," Genetically Symposium of Allanta, try of Agronomy, action Associated 1981.
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253825	C3 C4 C5 C6 C7	Improvement, Conger et al., Reports, 6:34:  Duvick, "Gen Contributions sponsored by Georgia; W.R Madison, Wis  Edallo et al., with in vitro Eshed and Za tomato," Gen Fehr (ed.), P	"Somatic En 5-347, 1987. etic Contribu to Yield Gar Div. C-1, Cr Fehr, Crop sconsin, pp. "Chromosor Culture and I	nbryontions of Scientifications of Scientifica	of Plant Molecular ogenesis from Culti- s to Yield Gains of f Five Major Crop- cience Society of Amore Society of Amore Variation and Frequ Regeneration in Maddictive epistatic in 1817, 1996.	rured L TU.S. I Plants America a ency C Iaize,"	Hybrid Ma Proceed And Americand Americand Americand Maydica, Theory ar	nts of Zeo ize, 1930 ings of a ser 2, 198 can Socie eous Muta 26:39-56	to 1980," Genetic Symposium 1 in Atlanta, ty of Agronomy, ation Associated , 1981.
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Form PTO-1449 (modified)		Atty. Docket No. HFSC:016US	Serial No. 10/730,363
List of Patents and Publications for	Applicant's	Applicant William D. Griffith	
INFORMATION DISCLOSURE STATEMENT		Filing Date:	Group: 1638
		December 8, 2003 Patent Documents	Other Art See Page 2
See Page 1 S		ee Page 2	See Page 2

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation				
	C8	Gaillard et al., "Optimization of maize microspore isolation and culture condition for reliable plant regeneration," Plant Cell Reports, 10(2):55, 1991.				
	C9	Gerdes and Tracy, "Diversity of historically important sweet corn inbredsas estimated by rflp's, morphology, isozymes, and pedigree," <i>Crop Science</i> , 34(1):26-33, 1994.				
	C10	Gordon-Kamm et al., "Transformation of maize cells and regeneration of fertile transgenic plants," The Plant Cell, 2:603-618, 1990.				
	C11	Green & Phillips, "Plant regeneration from tissue cultures of maize," <i>Crop Science</i> , 15:417-421, 1975.				
	C12	Green & Rhodes, "Plant regeneration in tissue cultures of maize," <i>Maize for Biological Research</i> , ed. W.F. Sheridan, A Special Publication of the Plant Molecular Biology Association, pp. 367-372, 1982.				
	C13	Hallauer et al., "Corn Breeding," Corn and Corn Improvement, eds., Sprague et al., Madison, Wisconsin, Ch. 8, pp. 463-564, 1988.				
	C14	Kraft et al., "Linkage disequilibrium and fingerprinting in sugar beet," Theor. Appl. Genet., 101:323-326, 2000.				
· · · · · · · ·	C15	Larson & Hanway, "Corn Production," Corn and Corn Improvement, ed. G.F. Sprague, No. 18 in Agronomy Series, American Society of Agronomy, Inc., Madison, Wisconsin, pp. 625-669, 1977.				
	C16	Meghji et al., "Inbreeding depression, inbred and hybrid grain yields, and other traits of maize genotypes representing three eras," Crop Science, 24:545-549, 1984.				
	C17	Pace et al., "Anther culture of maize and the visualization of embryogenic microspores by fluorescent microscopy," <i>Theoretical and Applied Genetics</i> , 73:863-869, 1987.				
	C18	Phillips et al., "Cell/tissue culture and in vitro manipulation," Corn and Corn Improvement, eds., Sprague et al., Ch. 5, pp. 345-387, 1988.				
	C19	Poehlman & Sleper (eds), Breeding Field Crops, 4th Ed., pp. 172-175, 1995.				
	C20	Poehlman, <i>Breeding Field Crops</i> , 3rd ed., AVI Publishing Company, Westport, Connecticut, pp. 469-481, 1987.				
	C21	Rao et al., "Somatic embryogenesis in glume callus cultures," Maize Genetics Cooperation Newsletter, Vol. 60, 1986.				

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		Patent Documents See Page 2	Other Art See Page 2

# Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C22	Rhodes et al., Genetically transformed maize plants from protoplasts," Science, 240:204-207, 1988.
	C23	Rieger et al., Glossary of Genetics and Cytogenetics, Classical and Molecular, Springer-Verlag, Berlin, p. 116, 1976.
	C24	Smith and Smith, "Restriction fragment length polymorphisms can differentiate among U.S. maize hybrids," <i>Crop Sci</i> , 31:893-899, 1991.
	C25	Sprague & Eberhart, "Corn Breeding," Corn and Corn Improvements, ed. G.F. Sprague, No. 18 in Agronomy Series, American Society of Agronomy, Inc., Madison, Wisconsin, pp. 305-323, 1977.
	C26	Troyer, "A retrospective view of corn genetic resources," <i>Journal of Heredity</i> , 81:17-24, 1990.
	C27	Wright, "Commercial hybrid seed," <i>Hybridization of Crop Plants</i> , Fehr et al., eds. Am. Soc. of agronCrop Sci. Soc. of Am., Madison, Wisconsin, Ch. 8, pp. 161-176, 1980.
	C28	Wych, "Production of hybrid seed corn," Corn and Corn Improvement, eds., Sprague et al, editors, Madison, Wisconsin, Ch. 9, pp. 565-607, 1988.
	C29	Plant Variety Protection Certificate No. 9700078 for Inbred Corn Line LH283, issued May 29, 1998.

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